

Annual Statement of Compliance with

The Concordat to Support Research Integrity 2021-2022

1. Essential Information:

- Date of Approval by Governing Body:
- Link to previous Annual Statements:
- Contact Details for clarification/further information: Dr Noel Purdy, Director of Research and Scholarship (n.purdy@stran.ac.uk)
- Period covered: 1 August 2021 – 31 July 2022

2. Annual Statement of Compliance

Universities UK published the revised Concordat to Support Research Integrity in October 2019. This version had been substantially reworked from the initial Concordat published in 2012, placing a greater emphasis on the responsibilities of individual researchers and also on those who fund research. The key commitments remain essentially unchanged:

1. Upholding the highest standards of rigour and integrity in all aspects of research
2. Ensuring that research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards
3. Supporting a research environment that is underpinned by a culture of integrity and based on good governance, best practice and support for the development of researchers
4. Using transparent, timely, robust and fair processes to deal with allegations of research misconduct when they arise
5. Working together to strengthen the integrity of research and to reviewing progress regularly and openly.

Full details of the College's commitment to the highest standards of research integrity can be demonstrated on its Research Integrity webpage:

- <https://www.stran.ac.uk/research/research-integrity/>

Each annual statement is designed to be read as a standalone report. Therefore, contextual information may not always change from year to year. This is the second statement of compliance, covering the period 01 August 2021 to 31 July 2022. Despite the COVID-19 global pandemic Stranmillis University College has continued to deliver on its commitment to the Concordat to Support Research Integrity.

Researchers at Stranmillis University College receive funding from a wide range of funding organisations, research councils, government departments, public and voluntary sector organisations. It is therefore essential (and often part of the funding terms and conditions) that the University College has in place and provides relevant assurances to govern good

research practice, for the investigation and reporting of unacceptable research conduct, and bullying and harassment.

3. Summary of Activities undertaken to support research integrity 2021-22

In terms of developing a sustainable and ethical research culture, a series of key staffing appointments have helped to create a strong foundation for a sustainable research culture at Stranmillis: including a Senior Leadership post of Director of Research and Scholarship in January 2015 (who reports 3 times a year to the College's Governing Body), a post of Research Office Finance and Development Officer in April 2015, a post of Research Impact Coordinator in August 2015, and most recently the appointment in 2019 of a full-time Research Fellow to the College's Centre for Research in Educational Underachievement, established in 2018. In 2021 the post of Research Fellow was made permanent, which further reflects the commitment of the University College to sustainable research integrity. During 2021-22, the College has extended its research assistant register, which allows research assistants to be appointed to specific research projects as the need arises, extending this to include a register of Grade 7 research fellows, which we have drawn up extensively for a number of projects.

The appointment of a Director of Research and Scholarship reflects the College's commitment to developing a sustainable research culture (a key element of successive College-wide Corporate Plans), and led to the development of a comprehensive new Research Strategy in 2015, revised and updated in 2017, 2019 and most recently in June 2022 (approved by the Governing Body).

A new *Code of Ethics in Research* and accompanying *Regulations Governing the Allegation and Investigation of Misconduct in Research* were instituted in November 2015 and updated in February 2018 and again in June 2021, providing clear guidance for staff/student researchers. A thorough review led to revised procedures, forms and associated paperwork for internal ethics applications which have ensured robustness and efficiency. The small pool of experienced ethics reviewers has been extended from 6 to 12 members with regular training provided. When completing an ethics application, applicants must confirm that they have read the College's *Code of Ethics in Research*.

Over the next five years, the College remains committed to extending our reputation as a national and international centre for high-quality, practitioner-focused educational research and scholarship through 5 key objectives of its current Research and Scholarship Strategy: [1] To engage in research and scholarship of the highest quality [2] To develop a rich, vibrant and supportive research and scholarship infrastructure characterised by the highest standards of integrity [3] To attract excellent staff and to develop and empower their careers [4] To ensure that our research has an impact on our teaching but also beyond academia yielding broader economic, social and cultural benefits. [5] To extend our national and international reach by engaging in collaborative partnerships and disseminating our research and scholarship.

During the 2021 academic year, as we emerged from the Covid-19 pandemic, much research activity was still carried out online, especially in the first half of the year. The main activities in relation to research integrity was the revision and updating of the College's *Research and Scholarship Strategy* which includes a clear commitment to "ensure that the highest standards of academic, professional and research integrity are maintained through robust ethical policies and procedures and training for relevant staff, following the University College's approved Code of Ethics in Research;" There were no incidents of research misconduct reported or investigated. During the year the College bid a fond farewell to the retiring visiting professors, Prof Elizabeth Wood (Sheffield) and Prof Colleen McLaughlin (Cambridge) both of whom have contributed much over recent years to the development of robust processes and high standards of research at the College. We look forward to their successors taking up their posts in 2022-2023.

Additional support was provided to research-active staff through College funding for part-time doctoral studies, an internal research mentorship programme (which will be relaunched in 2022-23), regular online lunchtime seminars and training workshops, and funding to present at national and international conferences (most of which were conducted online in 2020-21).

4. Information on allegations of research misconduct 2020-2021

The University College's *Regulations Governing the Allegation and Investigation of Misconduct in Research* were revised and updated in June 2021.

There were no allegations of misconduct in research received during the 2021-2022 academic year.